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Doc. No. 287242 WEMHH/SB/21 (10/03)

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TRANSMITTAL FORM			Application Number		615,227	
			Filing Date	July	y 8, 2003	
			First Named Inventor	Ric	ardo Sasso	
(to be used for all c	correspondence after initial	l filina)	Art Unit	373	31	
(3/	Examiner Name		assigned	
Total Number of Page	es in This Submission		Attorney Docket Number		76-4	
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☐ Amendment/Reply ☐		☐ P€	Petition		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)	
		etition to Convert to a Provisional oplication	i	Proprietary Information		
☐ Affidav	Affidavite/declaration(s)		ower of Attorney, Revocation nange of Correspondence Addres	ss	☐ Status Letter	
Extension of	Time Request	l	erminal Disclaimer		Other Enclosure(s) (please identify below):	
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Certified Copy of Priority Remark		ks				
Document(s)						
Response to Missing Parts/Incomplete Application						
Respon	nse to Missing Parts					
under 3	37 CFR 1.52 or 1.53					
			APPLICANT, ATTORNE	Y, O	R AGENT	
Firm or	C. David Emhardt, R	•				
individual name		7	McNett & Henry LLP		· · · · · · · · · · · · · · · · · · ·	
Signature	C. Kavia	<u>Cml</u>	raids			
Date	June 14, 2004					
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Typed or printed name C. David Emhardt						

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date

June 14, 2004

Enhand

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re U.S. Patent Application:)	Before the Examiner
Ricardo Sasso)	(not assigned)
)	
Application Serial No. 10/615,227)	Group Art Unit
)	3731
Filed July 8, 2003)	
)	Our Ref.: 3076-4
INSTRUMENTATION AND METHOD FOR)	
PERFORMING IMAGE-GUIDED SPINAL)	
SURGERY USING AN ANTERIOR SURGICAL)	
APPROACH	j	

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to the duty of disclosure embodied in 37 C.F.R. § 1.56, Applicants wish to bring to the attention of the Examiner the items of information listed on the enclosed Supplemental Information Disclosure Citation (IDS) form. Copies of the items listed in the IDS form are not provided herewith pursuant to 37 C.F.R. §1.98(d).

C. David Emhardt

Name of Registered Representative

Signature

June 14, 2004

Date of Signature

The filing of this Information Disclosure Statement (IDS) shall not be construed as an admission that the information cited is or is considered to be prior art or material to patentability as defined in § 1.56(b).

This Statement is being submitted under 37 CFR 1.97(b) before the occurrence of one or more of the following: (a) receipt of a First Office Action on the merits, (b) passage of three (3) months from the application filing date, or (c) passage of three (3) months from the date entry of the national stage. Accordingly, it is believed that no fee is due. However, is should any fee be required, please charge such fee to Deposit Account No. 23-3030, but not to include any payment of issue fees.

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Respectfully submitted,

C. David Emhardt, Reg. No. 18,483

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McNett & Henry LLP Bank One Center Tower

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Substitute for form 1449A/PTO

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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	Complete if Known	
Application Number	10/615,227	
Filing Date	July 8, 2003	
First Named Inventor	Ricardo Sasso	
Group Art Unit	3731	
Examiner Name	Unassigned	
Attornev Docket Number	3076-4	

U.S. PATENT DOCUMENTS						
Examiner Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines where		
Initials*	No.1	Number-Kind Code ² (If known)	MM-DD-YYYY	Y Application of Cited Document	Relevant Passages or Relevant Figures Appear	
		5,365,996	11-22-1994	Crook		
		6,348,058 B1	02-19-02	Melkent et al.		
		6,530,929 B1	03-11-03	Justis et al.		
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Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁶ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Application of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	74
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¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the emperor must precede the serial number of the patent document. ³ Kind of document by the ap0propriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.